

Express Mail Label No.: EV695511391US  
Date of Deposit: November 29, 2005

11-30-05  
Attorney Docket No. 22596-537 CON *IFW*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Jiang *et al.*

SERIAL NUMBER : 10/810,260

EXAMINER : Deborah C. Lambkin

FILING DATE : March 26, 2004

ART UNIT : 1626

FOR : NOVEL LAPACHONE COMPOUNDS AND METHODS OF USE THEREOF

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

1. Petition for Correction of Inventorship under 37 CFR § 1.48(a)(1) (1 pg.);
2. Statement of Inventors to be Added in Connection with Petition for Correction of Inventorship under 37 CFR § 1.48(a)(2) (3 pgs.);
3. Executed Combined Declaration and Power of Attorney under 37 CFR § 1.48(a)(3) (4 counterparts, 16 pgs. total);
4. Check No. 21565 in the amount of \$130.00 under 37 CFR § 1.48(a)(4)
5. Written Consent of Assignee to Correction of Inventorship under 37 CFR § 1.48(a)(5) (1 pg.); and
6. Return postcard.

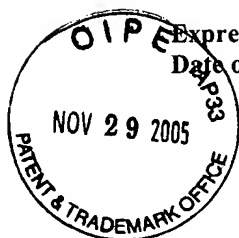
The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 22596-537 CON. A duplicate copy of this transmittal letter is enclosed herewith.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529  
Matthew Pavao, Reg. No. 50,572  
Attorney/Agent for Applicant  
c/o MINTZ, LEVIN  
Tel: (617) 542-6000  
Fax: (617) 542-2241  
Customer No.: 30623

Dated: November 29, 2005



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**PETITION FOR CORRECTION OF INVENTORSHIP**

Pursuant to 37 C.F.R. § 1.48(a), Applicants hereby petition for a correction of inventorship of the above-identified application (U.S.S.N. 10/810,260, filed March 26, 2004). The application was filed with Zhiwei Jiang, Dasharatha Reddy, Samuel Ackerman and June Salvesen as inventors. The correct inventors on this application are Zhiwei Jiang, Dasharatha Reddy, Samuel Ackerman, June Salvesen, Chiang Li, Youzhi Li and Xiangao Sun.

Applicants hereby petition under 37 CFR § 1.48(a)(1) to add Chiang Li, Youzhi Li and Xiangao Sun as inventors. Enclosed are statements from each inventor being added stating that the inventorship error occurred without deceptive intention on the part of the omitted inventors as required by 37 CFR § 1.48(a)(2). Enclosed is an executed combined Oath/Declaration by the actual inventors as required by 37 CFR § 1.48(a)(3) and written consent of the Assignee as required by 37 CFR § 1.48(a)(5). Also enclosed is a check in the amount of \$130.00 as set forth in 37 C.F.R. § 1.17(i) and as required by 37 CFR § 1.48(a)(4).

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311; Attorney Reference No. 22596-537 CON. A duplicate copy of this Petition is enclosed.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529  
Matthew Pavao, Reg. No. 50,572  
Attorney/Agent for Applicant  
c/o MINTZ, LEVIN  
Tel: (617) 542-6000  
Fax: (617) 542-2241  
Customer No.: 30623

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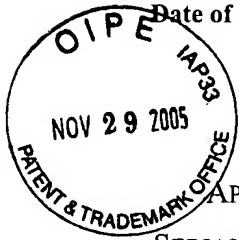
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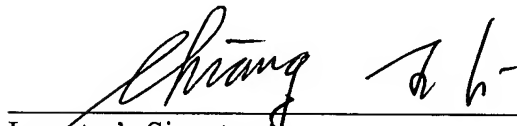
FOR : NOVEL LAPACHONE COMPOUNDS AND METHODS OF USE THEREOF

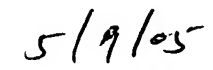
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF INVENTOR TO BE ADDED IN CONNECTION WITH  
PETITION FOR CORRECTION OF INVENTORSHIP**

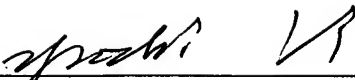
Pursuant to 37 C.F.R. §1.48(a)(2) the undersigned hereby states that the error in naming the inventors in the above-referenced application occurred without deceptive intention.

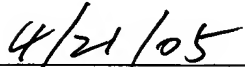
Respectfully submitted,


  
\_\_\_\_\_  
Inventor's Signature

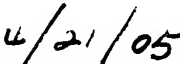
  
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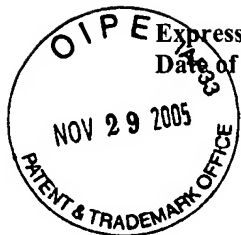
Full Name of Inventor: Chiang Li  
Citizenship: Peoples Republic of China  
Residence: 61 Gardner St., West Roxbury, MA 02132  
Post Office Address: Same

  
\_\_\_\_\_  
Inventor's Signature  
Full Name of Inventor: Youzhi Li  
Citizenship: Peoples Republic of China  
Residence: 159 Bridge Street, Dedham, MA 02026  
Post Office Address: Same

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Inventor's Signature  
Full Name of Inventor: Xiangao Sun  
Citizenship: Peoples Republic of China  
Residence: 20 Cameron Street, #302, Brookline, MA 02445  
Post Office Address: Same

  
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Date



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## COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a utility patent is sought on the invention entitled:

### NOVEL LAPACHONE COMPOUNDS AND METHODS OF USE THEREOF

The specification of which was filed on March 26, 2004 with the United States Patent and Trademark Office, assigned United States Serial No. 10/810,260 and bearing Attorney Docket No. 22596-537 CON.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Appln. Number	Country (if PCT, so indicate)	Filing Date (dd/mm/yy)	Priority Claimed	
			Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) or §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<b>Application No. (U.S.S.N.)</b>	<b>Filing Date (mm/dd/yy)</b>	<b>Status (Patented, Pending, Abandoned)</b>
60/427,283	11/18/02	Abandoned

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I hereby appoint the attorneys and/or agents associated with Mintz Levin Cohn Ferris Glovsky & Popeo, Customer Number **30623** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all telephone calls to Ivor R. Elrifi at telephone number 617/348-1747. Please address all correspondence to Customer Number **30623**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or patent issued thereon.



**Inventor's Signature: Zhiwei Jiang**

Full Name of Inventor: Zhiwei Jiang

Citizenship: United States

Residence: 1 Brian Circle, Grafton, Massachusetts 01519

Post Office Address: Same

4/21/05  
**Date**

\_\_\_\_\_  
**Inventor's Signature: Dasharatha Reddy**

\_\_\_\_\_  
Date

Full Name of Inventor: Dasharatha Reddy

Citizenship: India

Residence: 11C Railroad Street, Acton, Massachusetts 01720

Post Office Address: Same

\_\_\_\_\_  
**Inventor's Signature: Samuel K. Ackerman**

\_\_\_\_\_  
Date

Full Name of Inventor: Samuel K. Ackerman

Citizenship: United States

Residence: 175 Kings Grant Road, Weston, Massachusetts 02493

Post Office Address: Same

\_\_\_\_\_  
**Inventor's Signature: June Salvesen**

\_\_\_\_\_  
Date

Full Name of Inventor: June Salvesen

Citizenship: United States

Residence: 79 Cameron Drive, Marlborough, Massachusetts 01752

Post Office Address: Same

\_\_\_\_\_  


\_\_\_\_\_  
Date 5/9/05

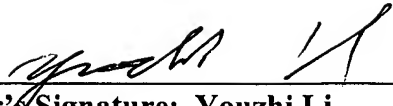
**Inventor's Signature: Chiang Li**

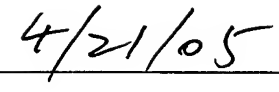
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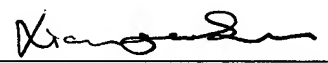
Citizenship: Peoples Republic of China

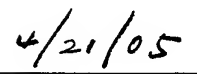
Residence: 61 Gardner St., West Roxbury, MA 02132

Post Office Address: Same

  
\_\_\_\_\_  
**Inventor's Signature: Youzhi Li**  
Full Name of Inventor: Youzhi Li  
Citizenship: Peoples Republic of China  
Residence: 159 Bridge Street, Dedham, MA 02026  
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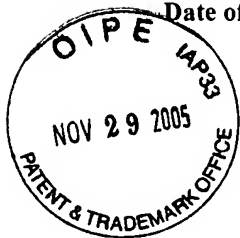
  
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**Inventor's Signature: Xiangao Sun**  
Full Name of Inventor: Xiangao Sun  
Citizenship: Peoples Republic of China  
Residence: 20 Cameron Street, #302, Brookline, MA 02445  
Post Office Address: Same

  
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TRA 2028529v1





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**Inventor's Signature: Zhiwei Jiang**  
Full Name of Inventor: Zhiwei Jiang  
Citizenship: United States  
Residence: 1 Brian Circle, Grafton, Massachusetts 01519  
Post Office Address: Same

**Date**

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**Inventor's Signature: Dasharatha Reddy**

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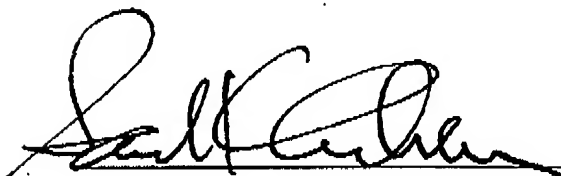
Date

Full Name of Inventor: Dasharatha Reddy

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Post Office Address: Same



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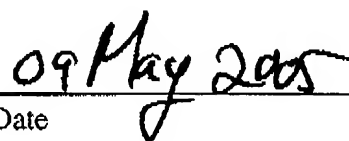
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Full Name of Inventor: Samuel K. Ackerman

Citizenship: United States

Residence: 175 Kings Grant Road, Weston, Massachusetts 02493

Post Office Address: Same



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**Inventor's Signature: June Salvesen**

---

Date

Full Name of Inventor: June Salvesen

Citizenship: United States

Residence: 79 Cameron Drive, Marlborough, Massachusetts 01752

Post Office Address: Same

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**Inventor's Signature: Chiang Li**

---

Date

Full Name of Inventor: Chiang Li

Citizenship: Peoples Republic of China

Residence: 61 Gardner St., West Roxbury, MA 02132

Post Office Address: Same

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**Inventor's Signature: Youzhi Li**

---

Date

Full Name of Inventor: Youzhi Li

Citizenship: Peoples Republic of China

Residence: 159 Bridge Street, Dedham, MA 02026

Post Office Address: Same

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**Inventor's Signature: Xiangao Sun**

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Full Name of Inventor: Xiangao Sun

Citizenship: Peoples Republic of China

Residence: 20 Cameron Street, #302, Brookline, MA 02445

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**Inventor's Signature: Zhiwei Jiang**


Full Name of Inventor: Zhiwei Jiang

Citizenship: United States

Residence: 1 Brian Circle, Grafton, Massachusetts 01519

Post Office Address: Same

**Date**

  
**Inventor's Signature:** Dasharatha Reddy  
**Full Name of Inventor:** Dasharatha Reddy  
**Citizenship:** India  
**Residence:** 11C Railroad Street, Acton, Massachusetts 01720  
**Post Office Address:** Same

**Inventor's Signature: Samuel K. Ackerman** Date \_\_\_\_\_  
Full Name of Inventor: Samuel K. Ackerman  
Citizenship: United States  
Residence: 175 Kings Grant Road, Weston, Massachusetts 02493  
Post Office Address: Same

<b>Inventor's Signature: June Salvesen</b>	<b>Date</b>
Full Name of Inventor: June Salvesen	
Citizenship: United States	
Residence: 79 Cameron Drive, Marlborough, Massachusetts 01752	
Post Office Address: Same	

**Inventor's Signature: Chiang Li**  
Full Name of Inventor: Chiang Li  
Citizenship: Peoples Republic of China  
Residence: 61 Gardner St., West Roxbury, MA 02132  
Post Office Address: Same

\_\_\_\_\_  
**Inventor's Signature: Youzhi Li**

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Full Name of Inventor: Youzhi Li

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\_\_\_\_\_  
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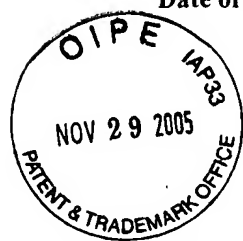
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I hereby appoint the attorneys and/or agents associated with Mintz Levin Cohn Ferris Glovsky & Popeo, Customer Number **30623** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all telephone calls to Ivor R. Elrifi at telephone number 617/348-1747. Please address all correspondence to Customer Number **30623**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or patent issued thereon.

**Inventor's Signature: Zhiwei Jiang**

Full Name of Inventor: Zhiwei Jiang

Citizenship: United States

Residence: 1 Brian Circle, Grafton, Massachusetts 01519

Post Office Address: Same

**Date**

**Inventor's Signature: Dasharatha Reddy**

Date

Full Name of Inventor: Dasharatha Reddy

Citizenship: India

Residence: 11C Railroad Street, Acton, Massachusetts 01720

Post Office Address: Same

**Inventor's Signature: Samuel K. Ackerman**

Date

Full Name of Inventor: Samuel K. Ackerman

Citizenship: United States

Residence: 175 Kings Grant Road, Weston, Massachusetts 02493

Post Office Address: Same



17 May 2005

**Inventor's Signature: June Salvesen**

Date

Full Name of Inventor: June Salvesen

Citizenship: United States

Residence: ~~79 Cameron Drive, Marlborough, Massachusetts 01752~~ 210 Ulmer Rd.

Post Office Address: Same

Bluffton, SC 29910

(MS)

**Inventor's Signature: Chiang Li**

Date

Full Name of Inventor: Chiang Li

Citizenship: Peoples Republic of China

Residence: 61 Gardner St., West Roxbury, MA 02132

Post Office Address: Same

\_\_\_\_\_  
**Inventor's Signature: Youzhi Li**

\_\_\_\_\_  
Date

Full Name of Inventor: Youzhi Li

Citizenship: Peoples Republic of China

Residence: 159 Bridge Street, Dedham, MA 02026

Post Office Address: Same

\_\_\_\_\_  
**Inventor's Signature: Xiangao Sun**

\_\_\_\_\_  
Date

Full Name of Inventor: Xiangao Sun

Citizenship: Peoples Republic of China

Residence: 20 Cameron Street, #302, Brookline, MA 02445

Post Office Address: Same

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Jiang *et al.*

SERIAL NUMBER : 10/810,260

EXAMINER : Deborah C. Lambkin

FILING DATE : March 26, 2004

ART UNIT : 1626

FOR : NOVEL LAPACHONE COMPOUNDS AND METHODS OF USE THEREOF

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**WRITTEN CONSENT OF ASSIGNEE TO CORRECTION OF  
INVENTORSHIP**

Pursuant to 37 C.F.R. §1.48(a)(5), the undersigned, on behalf of ArQule Inc., the assignee of this application, hereby consents to the correction of the inventorship in the above-referenced application. The undersigned hereby states that he or she is an official empowered to act on behalf of the assignee.

Respectfully submitted,

NAME: Chiang J. Li

TITLE: Vice President and Chief Scientific Officer

COMPANY: ArQule Inc.

5/9/05  
Date